

# Automatentheorie und formale Sprachen

## Satz von Kleene

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# Satz von Kleene



(Stephen C. Kleene, 1909 - 1994)

Jede Sprache, die von einem deterministischen endlichen Automaten akzeptiert wird ist regulär und jede reguläre Sprache wird von einem deterministischen endlichen Automaten akzeptiert.

# Wiederholung: reguläre Sprachen

## RE: syntax

The set of **regular expressions**  $RE_{\Sigma}$  over an alphabet  $\Sigma = \{a_1, \dots, a_n\}$  is defined by:

- $\emptyset$  is a regular expression.
- $\epsilon$  is a regular expression.
- $a_1, \dots, a_n$  are regular expressions
- If  $a$  and  $b$  are regular expressions over  $\Sigma$  then
  - $(a + b)$
  - $(a \bullet b)$
  - $(a^*)$

are regular expressions too.

# Wiederholung: reguläre Sprachen

## RE: semantics

Each regular expression  $r$  over an alphabet  $\Sigma$  describes a formal language  $L(r) \subseteq \Sigma^*$ .

**Regular languages** are those formal languages which can be described by a regular expression.

The function  $L$  is defined inductively:

- $L(\underline{\emptyset}) = \emptyset, L(\epsilon) = \{\epsilon\}, L(a_i) = \{a_i\}$
- $L(a + b) = L(a) \cup L(b)$
- $L(a \bullet b) = L(a) \circ L(b)$
- $L(a^*) = L(a)^*$

# Finite-state automatons accept regular languages

## Theorem (Kleene)

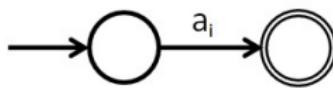
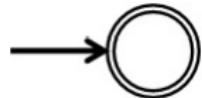
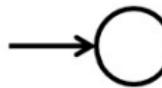
*Every language accepted by a DFSA is regular and every regular language is accepted by some DFSA.*

# Finite-state automatons accept regular languages

## Theorem (Kleene)

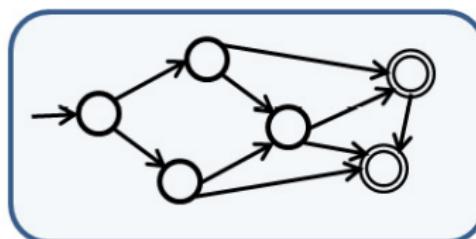
*Every language accepted by a DFSA is regular and every regular language is accepted by some DFSA.*

**proof idea (one direction):** Each regular language is accepted by a NDFSA (and therefore by a DFSA):

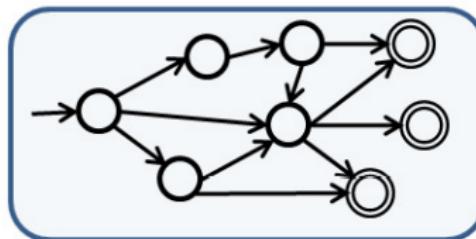


## Proof of Kleene's theorem (cont.)

If  $R_1$  and  $R_2$  are two regular expressions such that the languages  $L(R_1)$  and  $L(R_2)$  are accepted by the automata  $\mathcal{A}_1$  and  $\mathcal{A}_2$  respectively, then  $L(R_1 + R_2)$  is accepted by:



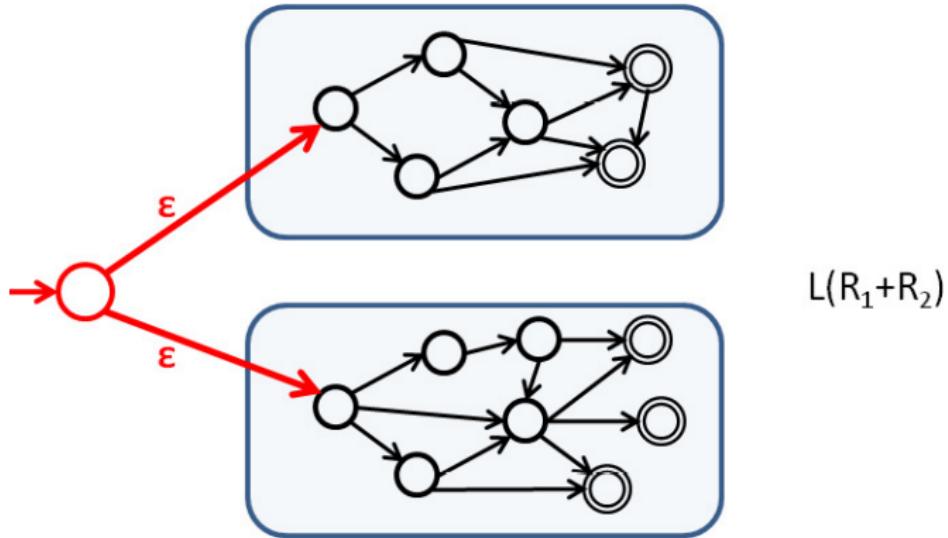
$L(R_1)$



$L(R_2)$

## Proof of Kleene's theorem (cont.)

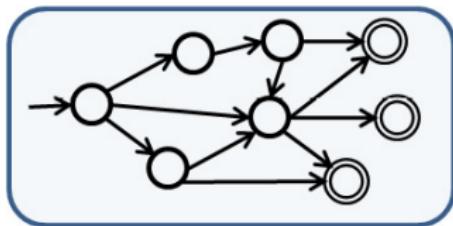
If  $R_1$  and  $R_2$  are two regular expressions such that the languages  $L(R_1)$  and  $L(R_2)$  are accepted by the automata  $\mathcal{A}_1$  and  $\mathcal{A}_2$  respectively, then  $L(R_1 + R_2)$  is accepted by:



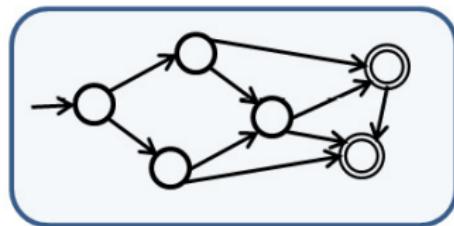
$$L(R_1 + R_2)$$

# Proof of Kleene's theorem (cont.)

$L(R_1 \bullet R_2)$  is accepted by:



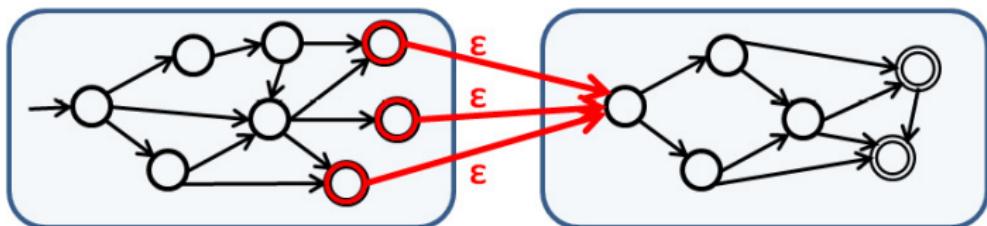
$L(R_2)$



$L(R_1)$

# Proof of Kleene's theorem (cont.)

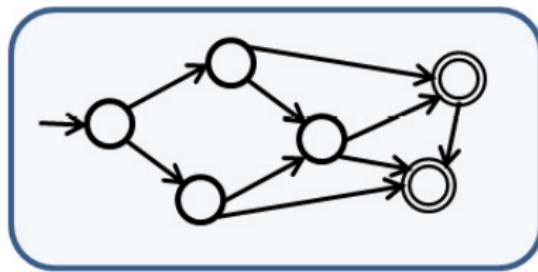
$L(R_1 \bullet R_2)$  is accepted by:



$$L(R_2 \bullet R_1)$$

# Proof of Kleene's theorem (cont.)

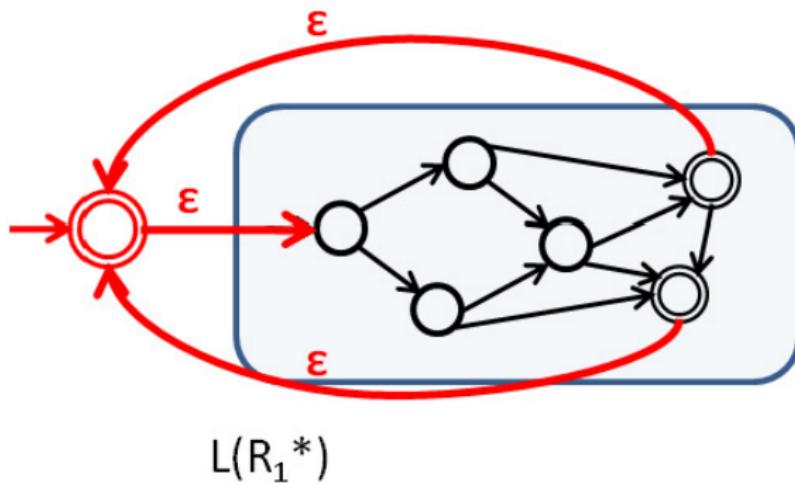
$L(R_1^*)$  is accepted by:



$L(R_1)$

# Proof of Kleene's theorem (cont.)

$L(R_1^*)$  is accepted by:



## Exercise 1

*Beschreiben sie mit ihren eigenen Worten, wie die Automaten für die Sprachen  $L(R_1 + R_2)$ ,  $L(R_1 \bullet R_2)$ , und  $L(R_1^*)$  systematisch aus den Automaten für die Sprachen  $L(R_1)$  und  $L(R_2)$  konstruiert werden können.*

# Closure properties of regular languages

## Theorem

- ① If  $L_1$  and  $L_2$  are two regular languages, then
  - the union of  $L_1$  and  $L_2$  ( $L_1 \cup L_2$ ) is a regular language too.
  - the intersection of  $L_1$  and  $L_2$  ( $L_1 \cap L_2$ ) is a regular language too.
  - the concatenation of  $L_1$  and  $L_2$  ( $L_1 \circ L_2$ ) is a regular language too.
- ② The complement of every regular language is a regular language too.
- ③ If  $L$  is a regular language, then  $L^*$  is a regular language too.

## Exercise 2

Überlegen sie sich, warum obenstehende Aussagen gelten.

# Implementierung endlicher Automaten in Prolog

# Prolog: the basics

- **facts**: state things that are unconditionally true of the domain of interest.  
`human(sokrates).`
- **rules**: relate facts by logical implications.  
`mortal(X) :- human(X).`
  - **head**: left hand side of a rule
  - **body**: right hand side of a rule
  - **clause**: rule or fact.
  - **predicate**: collection of clauses with identical heads.
- **knowledge base**: set of facts and rules
- **queries**: make the Prolog inference engine try to deduce a positive answer from the information contained in the knowledge base.  
`?- mortal(sokrates).`

# Prolog: some syntax

- facts: fact.
- rules: head :- body.
- conjunction: head :- info1 , info2.
- atoms start with small letters
- variables start with capital letters

# lists in Prolog

- Lists are recursive data structures: First, the empty list is a list. Second, a complex term is a list if it consists of two items, the first of which is a term (called **first**), and the second of which is a list (called **rest**).
- [mary| [john| [alex| [tom| []]]]]
- simpler notation: [mary, john, alex, tom]

```
function D-RECOGNIZE (tape, machine) returns accept or reject
  index  $\leftarrow$  Beginning of tape
  current-state  $\leftarrow$  Initial state of machine
  loop
    if End of input has been reached then
      if current-state is an accept state then
        return accept
      else
        return reject
    elsif transition-table [current-state, tape[index]] is empty then
      return reject
    else
      current-state  $\leftarrow$  transition-table [current-state, tape[index]]
      index  $\leftarrow$  index + 1
  end
```

Jurafsky & Martin 2000

```

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      current-state  $\leftarrow$  transition-table [current-state, tape[index]]
      index  $\leftarrow$  index + 1
  end

```

Jurafsky & Martin 2000

```

% Finite state automaton.

fsa(Tape):-
  initial(S),
  fsa(Tape, S).

fsa([], S):- final(S).

fsa([H | T], S):-
  trans_tab(S, H, NS),
  fsa(T, NS).

% FSA transition table:
% trans_tab/3
% trans_tab(State, Input, New State)

trans_tab(1, a, 1).
trans_tab(1, b, 2).
trans_tab(2, a, 2).

initial(1).
final(2).

```

```

function ND-RECOGNIZE(tape, machine) returns accept or reject
  agenda  $\leftarrow \{(Initial\ state\ of\ machine,\ beginning\ of\ tape)\}$ 
  current-search-state  $\leftarrow \text{NEXT}(\text{agenda})$ 
  loop
    if ACCEPT-STATE?(current-search-state) returns true then
      return accept
    else
      agenda  $\leftarrow \text{agenda} \cup \text{GENERATE-NEW-STATES}(\text{current-search-state})$ 
    if agenda is empty then
      return reject
    else
      current-search-state  $\leftarrow \text{NEXT}(\text{agenda})$ 
    end

function GENERATE-NEW-STATES(current-state) returns a set of search-states
  current-node  $\leftarrow$  the node the current search-state is in
  index  $\leftarrow$  the point on the tape the current search-state is looking at
  return a list of search states from transition table as follows:
    (transition-table[current-node, ε], index)
     $\cup$ 
    (transition-table[current-node, tape[index]], index + 1)

```

**function** ACCEPT-STATE?(*search-state*) **returns** true or false

```

  current-node  $\leftarrow$  the node search-state is in
  index  $\leftarrow$  the point on the tape search-state is looking at
  if index is at the end of the tape and current-node is an accept state of machine
  then
    return true
  else
    return false

```