Grammar Implementation with TAG Natural Language Syntax with TAG

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- The derivation tree
- Oesign principles for elementary trees
- Sample derivations

### Derivation trees (1): The context



TAG derivations are uniquely described by derivation trees.

The derivation tree contains:

- nodes for all elementary trees used in the derivation, and
- edges for all adjunctions and substitutions performed throughout the derivation, and
- edge labels indicating the target node of the rewriting operation.

Whenever an elementary tree  $\gamma$  rewrites the node at Gorn address p in the elementary tree  $\gamma'$ , there is an edge from  $\gamma'$  to  $\gamma$  labeled with p.

For the node addresses of elementary trees, **Gorn addresses** are used:

The root has address  $\epsilon$  (or 0), and the *i*th daughter of the node with address p has address pi.



What is an elementary tree, and what is its shape?

 $\Rightarrow$  Design principles for elementary trees from Frank (2002):

- Lexicalization
- Fundamental TAG Hypothesis (FTH)
- Condition on Elementary Tree Minimality (CETM)

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•  $\theta$ -Criterion for TAG

# Design principles (1): Lexicalization

Each elementary tree has at least one non-empty lexical item, its lexical **anchor**.

 $\Rightarrow$  All widely used grammar formalisms support some kind of lexicalization!

Reasons for lexicalization:

• The properties of a constituent depend on the lexical items occurring in the constituent: the structure of a VP depends on the subcategorization properties of its verb.



# Design principles (2): Fundamental TAG Hypothesis

### Fundamental TAG Hypothesis (FTH)

Every syntactic dependency is expressed locally within an elementary tree. (Frank,2002)

### "syntactic dependency"

- valency/subcategorization
- modification
- binding
- dislocations (e.g. extraposition)
- . . .

### "expressed within an elementary tree"

- terminal leaf (i.e. lexical anchor)
- nonterminal leaf (substitution node and footnode)

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marking an inner node for obligatory adjunction

### Condition on Elementary Tree Minimality (CETM)

The syntactic heads in an elementary tree and their projections must form the extended projection of a single lexical head. (Frank,2002)

Note: We only use simple, non-extended projections!



# Design principles (4): $\theta$ -Criterion for TAG

### $\theta$ -Criterion (TAG version)

- a. If H is the lexical head of an elementary tree T, H assigns all of its  $\theta$ -roles in T.
- b. If A is a frontier non-terminal of elementary tree T, A must be assigned a  $\theta$ -role in T.

(Frank, 2002)

 $\implies$  Valency/subcategorization is expressed only with nonterminal eaves



## Blind spot: Modification and functional elements

How to insert **modifiers** (*easily*) and **funtional elements** (complementizers, determiners, do-auxiliaries, ...)?

- Either by separate auxiliary trees (e.g., XTAG grammar),
- or as co-anchor in the elementary tree of the lexical item they are associated with.

Modifiers are generally represented by auxiliary trees.

- ⇒ Footnodes/Adjunctions indicate both complementation and modification.
- ⇒ Enhancement of the CETM: core tree (following CETM) + spine

- Complementation with: NPs, PPs, adjectives, clauses (raising, controlling), ...
- Modification with: PPs, adjectives, particles, temporal clauses, relative clauses, ...

## Sample derivations: NP complements

(1) John buys Bill a book

#### **Elementary trees:**



## Sample derivations: Sentential complements (1)

(2) Bill hopes that John wins



Sample derivations: Sentential complements (2)

(3) John seems to like Bill

**Elementary trees:** 



## Sample derivations: Sentential complements (3)

(4) John expects [Bill to win]

#### Elementary trees:



## Sample derivations: Sentential complements (4)

Question: Why is the sentential object represented as a footnode?

The sentential object is realised as a foot node in order to allow extractions:

(5) Who does John expect to win?

#### Elementary trees:



## Sample derivations: Multiple anchors

Multiword expressions and light verb constructions can be represented by elementary trees with multiple anchors:

(6) John expected [Mary to make a comment]



## Sample derivations: Modifiers

(7) The good student participated in every course during the semester.



## Sample derivations: Relative clauses

(8) the dog [who ate the cake]



Problem: Extraposed relative clauses:

(9) Somebody; lives nearby [who; has a CD-burner].

## Derivation trees = Semantic dependency structure ?

The derivation tree is not always the semantic dependency structure, due to:

 indiscernibility of complementation and modification in adjunction, and

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missing links.

Example for a missing link:

(10) John claims Bill seems to win



- TAG derivations are described by derivation trees.
- In LTAG, elementary trees for lexical predicates contain slots for all arguments of these predicates, for nothing else. Recursion is factored away.
- The derived tree describes the constituent structure while the derivation tree is close to a semantic dependency graph.