

# How formal concept lattices solve a problem of ancient linguistics

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# Pāṇini's Śivasūtras

अइउण् ॥ ऋलृक् ॥ एओङ् ॥ ऐऔच् ॥ हयवरट् ॥ लण् ॥ ञमडणनम् ॥ झभञ् ।  
घढधष् ॥ जबगडदश् ॥ खफछठथचटतव् ॥ कपय् ॥ शषसर् ॥ हल् ॥

*a·i·uṅ || ṛ·lṛk || e·oṅ || ai·auc || hayavaratḥ || laṅ || ñamaṅaṅanam || jhabhañ ||  
ghadhadhaṣ || jabagadadaś || khaphachathathacaṭatav || kapay || śaśasar || hal ||*

# Phonological rules

**A** is replaced by **B** if preceded by **C** and followed by **D**

- in modern form:  $A \rightarrow B / C\_D$
- as context-sensitive rule:  $CAD \rightarrow CBD$

Example: final devoicing in German (Hunde - Hund)

$[d] \rightarrow [t] / \_ \#$ ,  $[b] \rightarrow [p] / \_ \#$ ,  $[g] \rightarrow [k] / \_ \#$ , ...

$$\left[ \begin{array}{l} +consonantal \\ -nasal \\ +voiced \end{array} \right] \rightarrow \left[ \begin{array}{l} +consonantal \\ -nasal \\ -voiced \end{array} \right] / \_ \#$$

# Pāṇini's coding of rules

$$A \rightarrow B / C \_ D$$

A + genitive, B + nominative, C + ablative, d + locative

6.1.77. *iko yaṅ aci* (इको यण् अचि)

[ik]<sub>genitive</sub> [yaṅ]<sub>nominative</sub> [ac]<sub>locative</sub>

$$[iK] \rightarrow [yN] / \_ [aC]$$

# Pāṇini's Śivasūtras

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# Pāṇini's Śivasūtras

*anubandha*

*sūtras*

1.	a	i	u				Ṇ
2.				ṛ	ḷ		Ḷ
3.		e	o				ṅ
4.		ai	au				Ḷ
5.	h	y	v	r			ṭ
6.					l		Ṇ
7.	ñ	m	ṅ	ṇ	n		Ṁ
8.	jh	bh					ṅ
9.			gh	ḍh	dh		Ṣ
10.	j	b	g	ḍ	d		Ṣ
11.	kh	ph	ch	ṭh	th		
			c	ṭ	t		V
12.	k	p					Y
13.		ś	ṣ	s			R
14.	h						L

# Phonological classes/ *pratyāhāras*

1.	a	<b>i</b>	u				N
2.				ṛ	ḷ		K
3.		e	o				Ñ
4.		ai	au				<b>C</b>
5.	h	y	v	r			T
6.					l		N
7.	ñ	m	ṅ	ṇ	n		M
8.	jh	bh					Ñ

*iC*

Phonological classes are denoted by *pratyāhāras*.

E.g., the *pratyāhāra iC* denotes the set of segments in the continuous sequence starting with *i* and ending with *au*, the last element before the *anubandha C*.

# Minimality criteria

1. The length of the whole list is minimal.
2. The length of the sublist of the anubandhas is minimal and the length of the whole list is as short as possible.
3. The length of the sublist of the sounds is minimal and the length of the whole list is as short as possible.



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  - no duplication of  $h$
  - less anubandhas

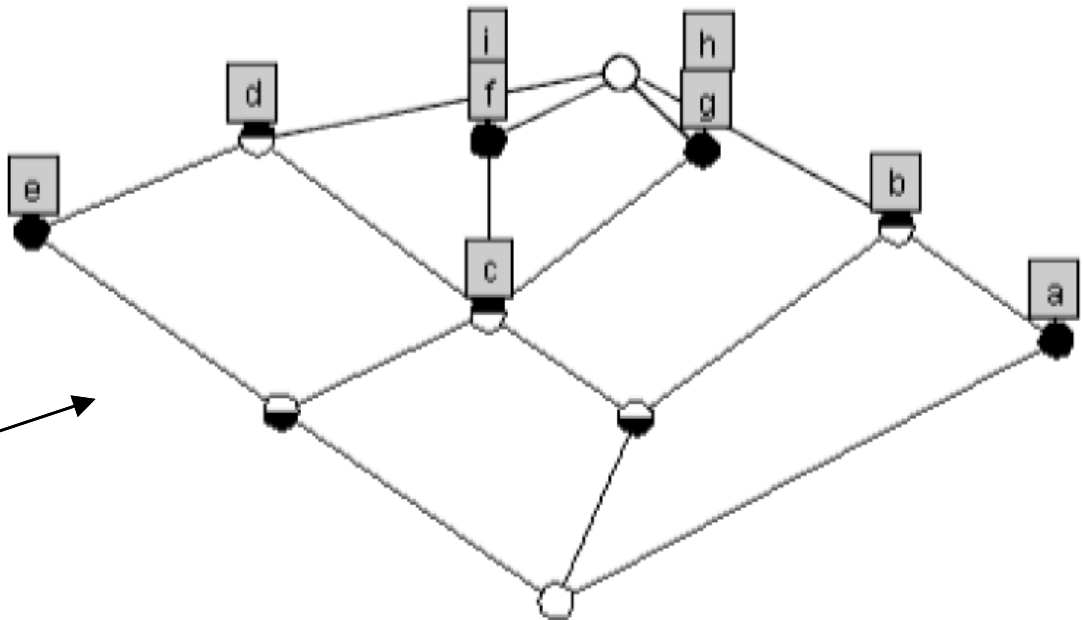
# Basic concepts

S-encodable set of sets:  $\Phi = \{\{d,e\}, \{b,c,d,f,g,h,i\}, \{a,b\}, \{f,i\}, \{c,d,e,f,g,h,i\}, \{g,h\}\}$

S-alphabet  $(\mathcal{A}, \Sigma, <)$  of  $\Phi$ :

e d  $M_1$  c i f  $M_2$  g h  $M_3$  b  $M_4$  a  $M_5$

alphabet  $\nearrow$   
 marker  $\nearrow$   
 total order on  $\mathcal{A} \cup \Sigma$   $\nearrow$

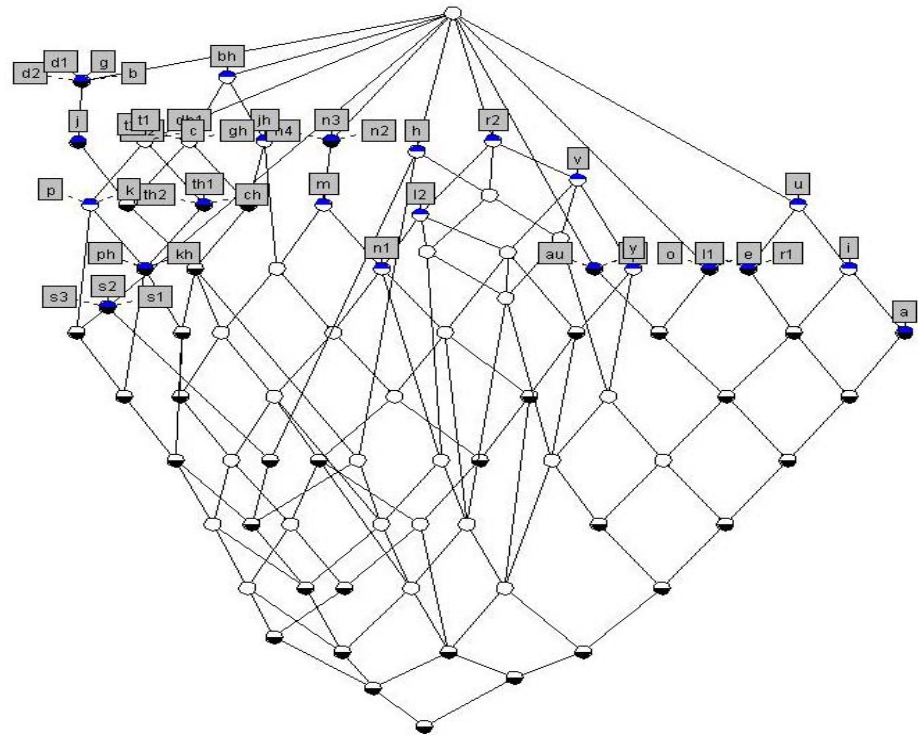
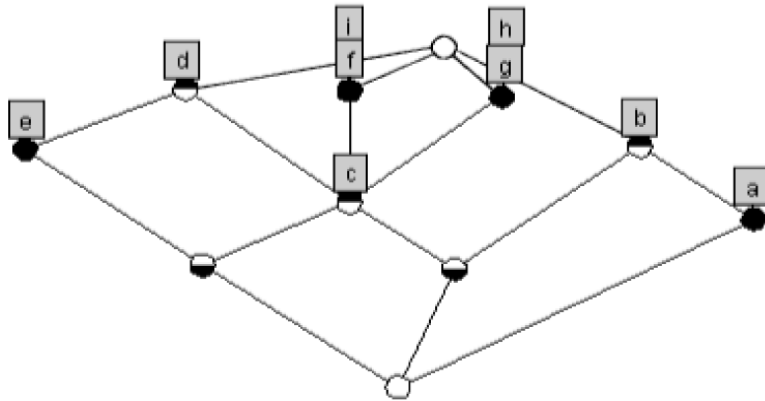


$\mathcal{B}(\Phi, \mathcal{A}, \exists)$   $\nearrow$

$$\mathcal{A} = \bigcup_{\phi \in \Phi} \phi$$

# S-encodability and planar formal concept lattices

If  $\Phi$  is S-encodable, then the formal concept lattice  $\mathcal{B}(\Phi, \mathcal{A}, \ni)$  is planar

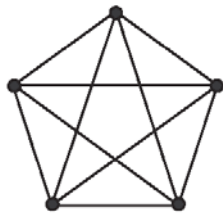


Hasse-diagram for Pāṇini's *pratyāhāras*

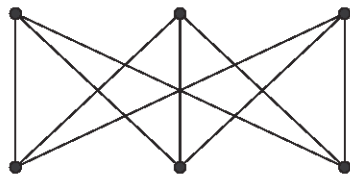
# S-encodability and planar formal concept lattices

*Criterion of Kuratowski:*

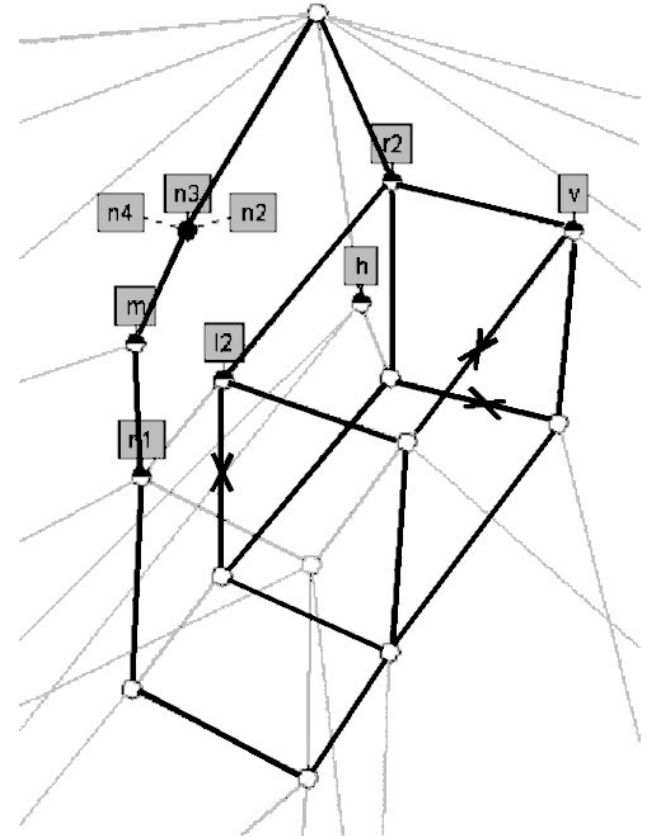
A graph is planar iff it has neither  $K^5$  nor  $K_{3,3}$  as a *minor*.



$K^5$

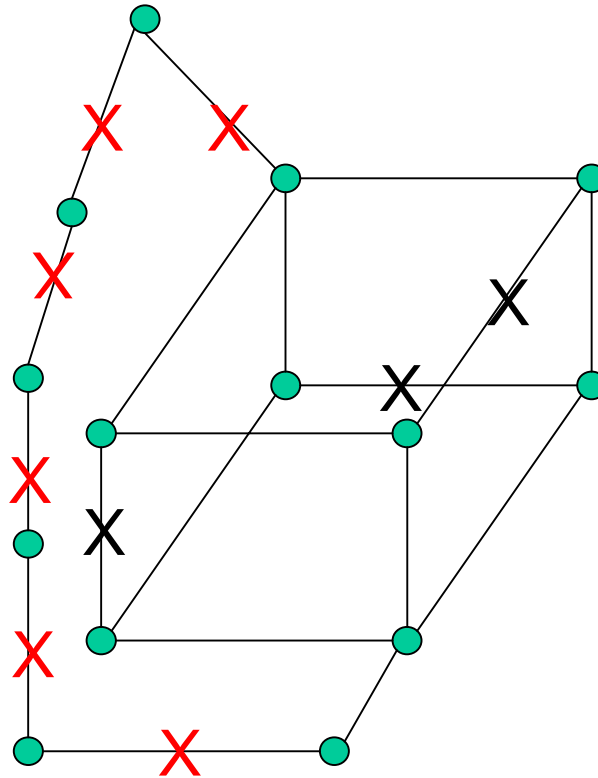


$K_{3,3}$

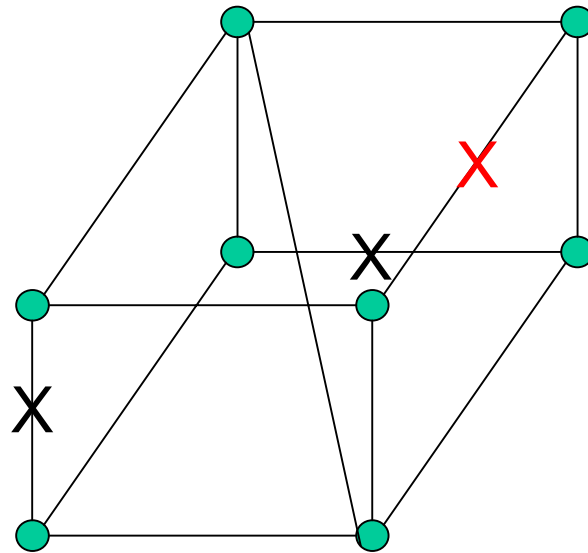


part of the concept lattice for Pāṇini's phonological classes

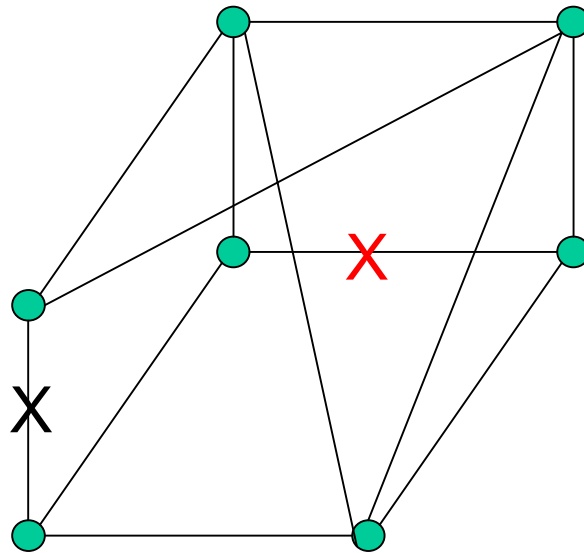
# $K^5$ is a minor of the concept lattice for Pāṇini's phonological classes



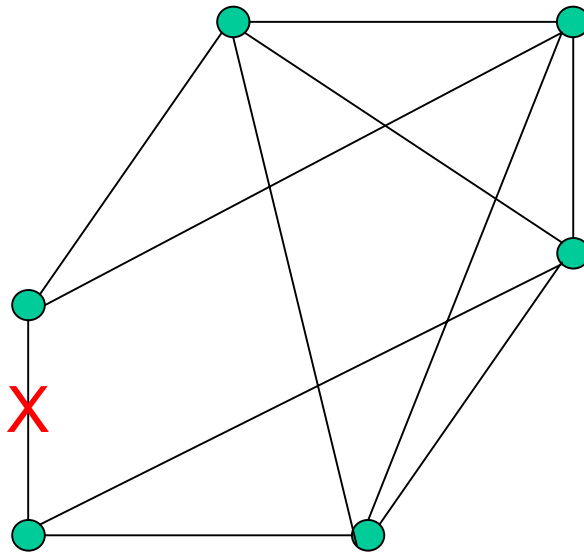
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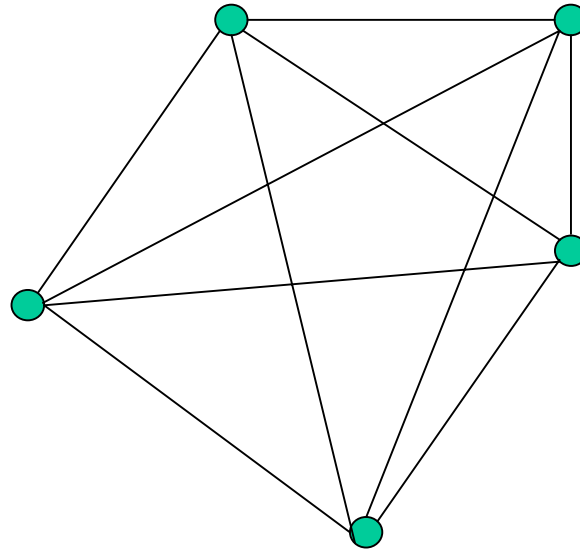


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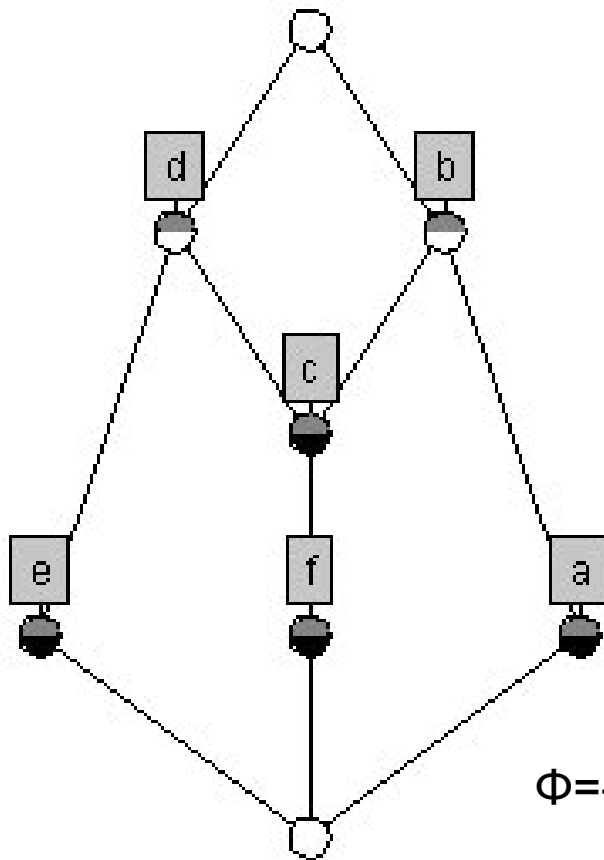




# $K^5$ is a minor of the concept lattice for Pāṇini's phonological classes



# We are not done yet!



plane but not S-encodable!

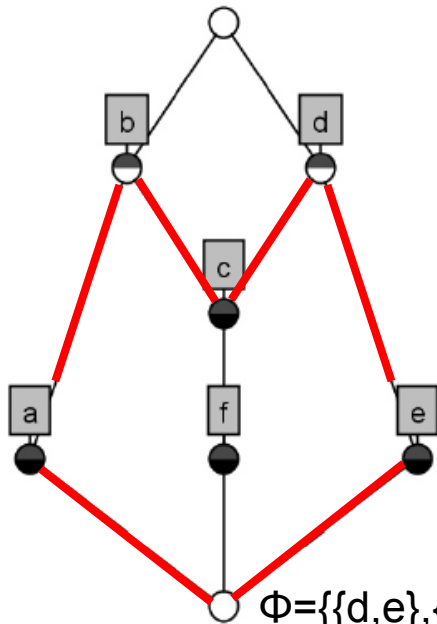
$$\Phi = \{\{d, e\}, \{b, c, d, f\}, \{a, b\}, \{b, c, d\}\}$$

# Existence of S-alphabets

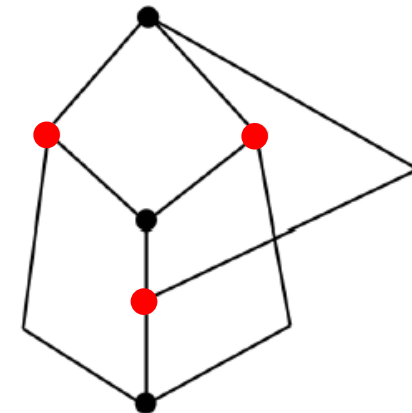
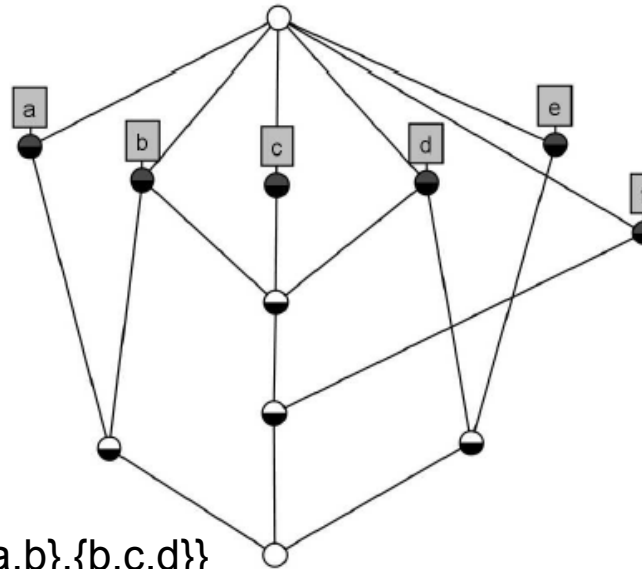
$$\bar{\Phi} = \Phi \cup \{\{a\} : a \in \mathcal{A}\}$$

The following statements are equivalent:

1.  $(\mathcal{A}, \Phi)$  is S-encodable
2.  $\underline{\mathcal{B}}(\bar{\Phi}, \mathcal{A}, \ni)$  is planar



$\Phi = \{\{d, e\}, \{b, c, d, f\}, \{a, b\}, \{b, c, d\}\}$

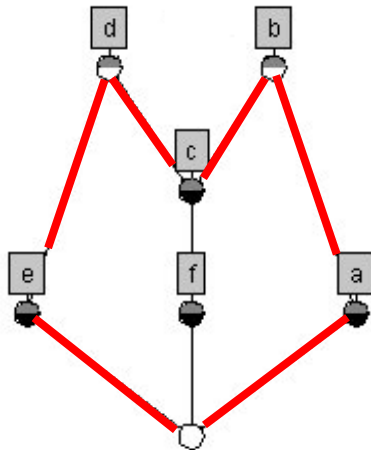


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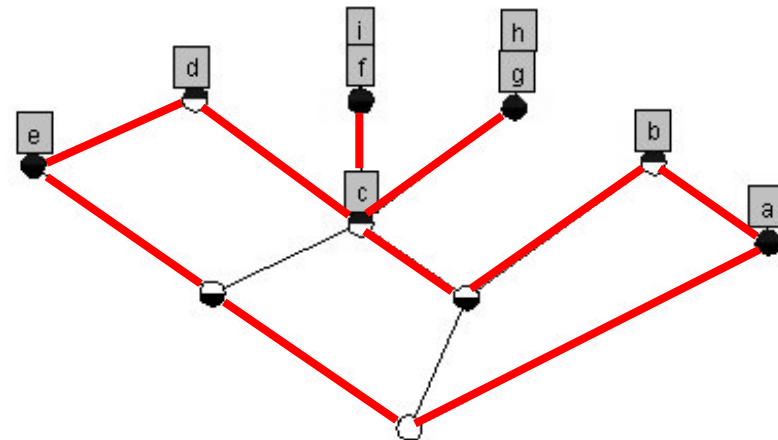
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The following statements are equivalent:

1.  $(\mathcal{A}, \Phi)$  is S-encodable
2.  $\underline{\mathcal{B}}(\bar{\Phi}, \mathcal{A}, \exists)$  is planar
3. the S-graph contains all attribute concepts

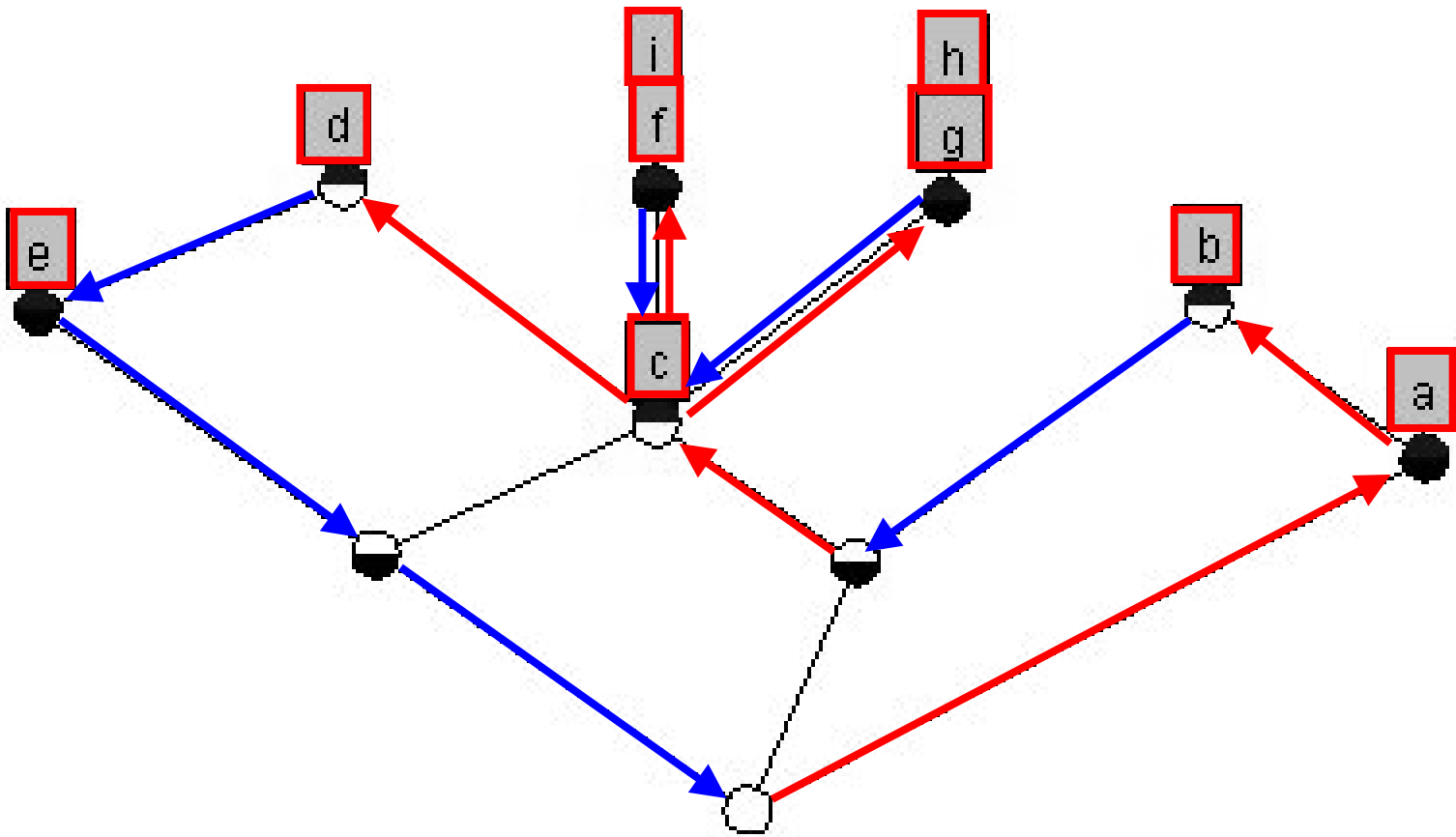


not S-encodable



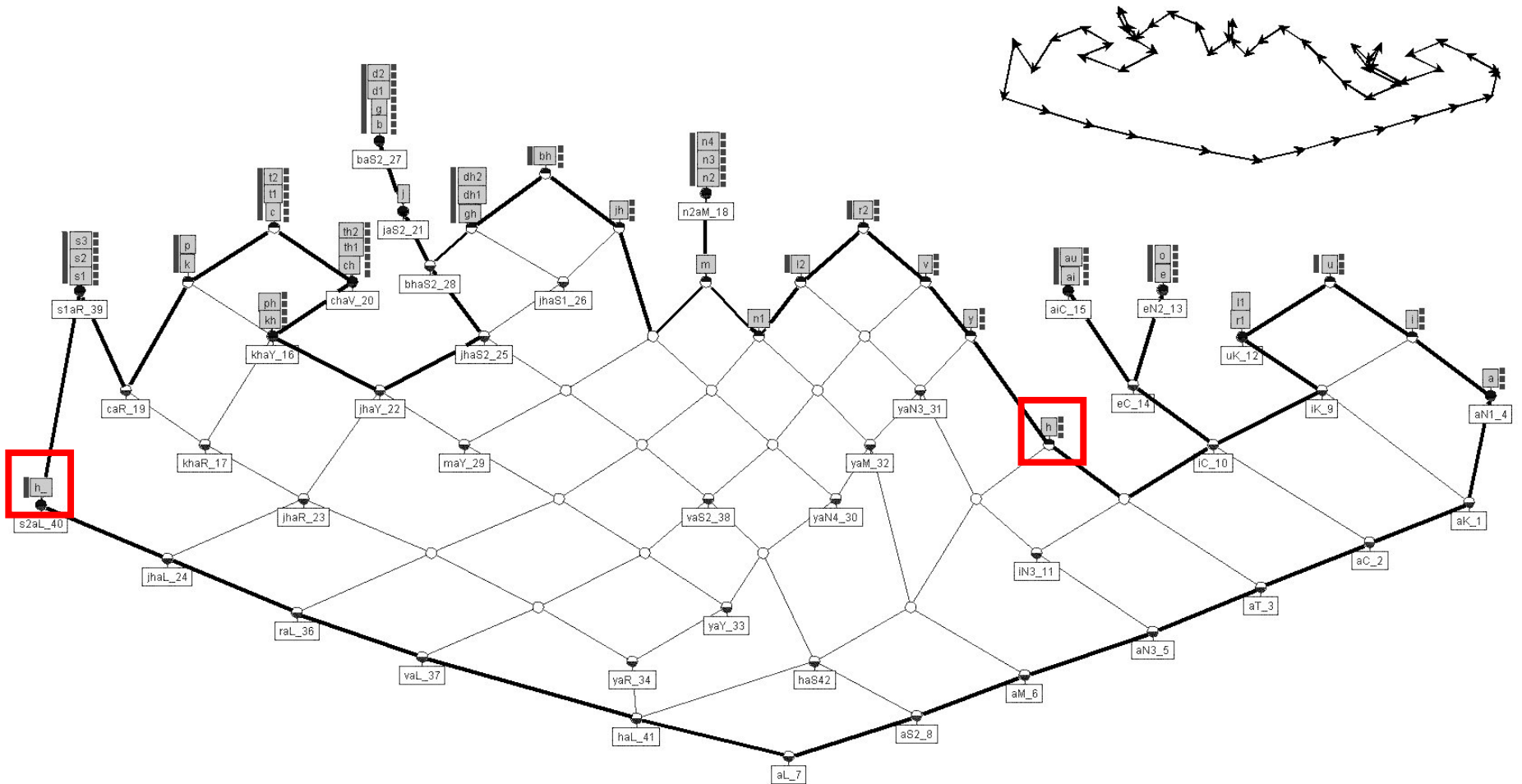
S-encodable

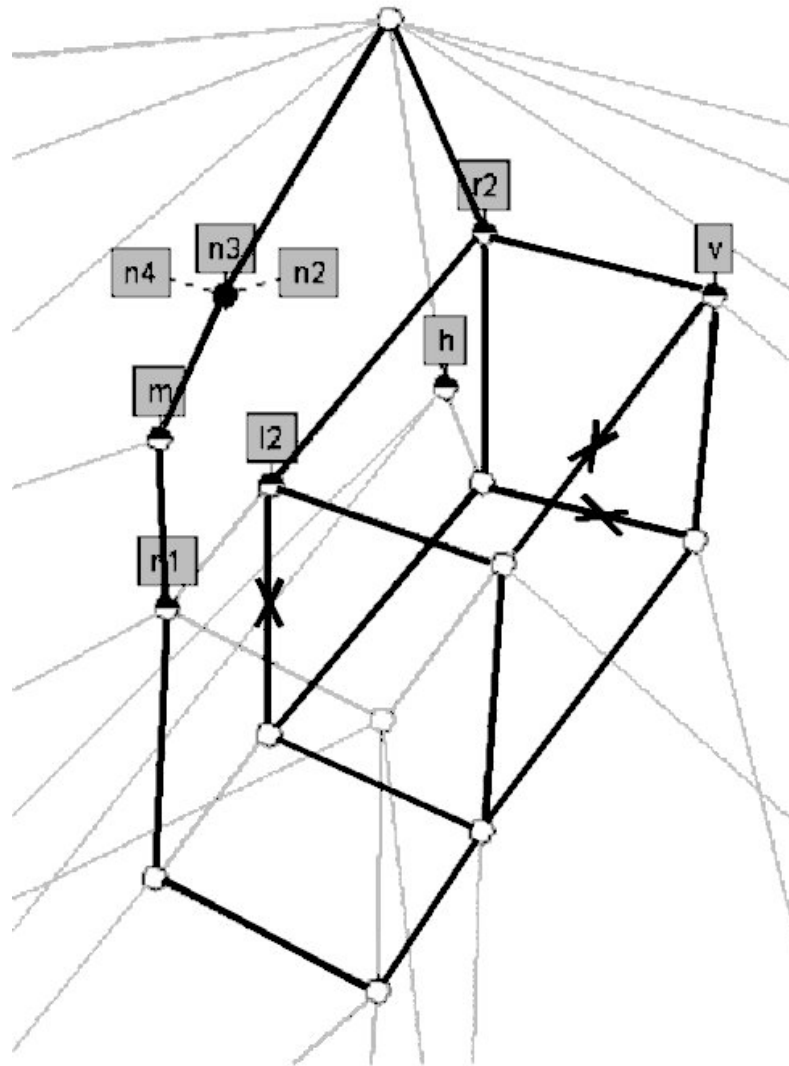
# Construction of S-alphabets



a b  $M_1$  c g h  $M_2$   $\emptyset$  i f  $M_3$   $\emptyset$  d  $M_4$  e  $M_5$

# Pāṇini's Śivasūtras are optimal





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